**U.S. Department of Justice** Bureau of Alcohol, Tobacco, Firearms and Explosives

# OMB No. 1140-0005 (10/31/2019) Application and Permit for Importation of Firearms, Ammunition and Defense Articles

Not for	use by Members of t	he Unite	d States Ar	med Force	es.								
For A	<b>F</b> Use Only						For 4	Applicant's O	ptional Use				
Permit	No. (Valid for 24 month	ns from th	ne date appea	ring in Item	n 19 below.) NP	PR No.	Inter	nal Control/Re	ference #		E-mai	l Address (Optional)	
Section	I - Application (Subr	nit in tri	plicate) - Fo	or Applican	nt Use								
		ederal Fi	rearms Lice	nse (If Any				2. Telephone <i>Extension</i>	No. (Including No.)	3. Country	of Exporta	tion	
4. Nar	ne and Address of Cust	toms Bro	ker <i>(Includi</i>	ng Zip Coa	le)			5. Applicant's	s Name and Addr	ess (Including	Zip Code)		
	eck here if permit is to			ns Broker.					e if permit is to be		pplicant.		
6. Nar	ne and Address of Fore	eign Selle	er, if any					7. Name and .	Address of Foreig	gn Shipper			
8. Des	cription of Firearms an	d Ammu	nition (For	firearms, ei	nter (SG)-Shot	gun; (R	I)-Rifl	le; (PI)-Pistol;	(RE)-Revolver; (	DD)-Destruct	ive Device;	(MG)-Machinegun)	
	Name and Address of Manufacturer City and State or		Type (Frame, Receiver, SG, RI, PI, RE,DD,MG)	Caliber Gauge or Size	Quantity (Each Type)	Un Cost ( <i>Curre</i>	it U.S.	U.S. Munitions Import List Category	Model (required)	Length of Barrel (Inches)	Overall Length (Inches)	Serial No.	New (N) or Used (U)
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Firearms	See Attachment												
icles									Description				
Ammunition Defense Articles	See Attachment	Core Materi al (Lead, Steel etc.)	(Ball Wad- cutter, Shot, AP, Tracer) (Rounds)						<ul> <li>block 8:</li> <li>a. Do not contai military and with U.S. mi</li> <li>b. Contain parts military or p technical data</li> <li>c. Contain parts components m</li> </ul>	in parts or con do not contai litary technica or componen arts or compo a or assistance or components nanufactured w oad pursuant to	nponents pro n parts or co l data or ass ts produced nents manu produced by vith U.S. tecl	ght for importation ir oduced by or for the omponents manufactu sistance. by or for the U.S. factured with U.S. m y or for the U.S. <b>milit</b> mical data or assistance ommercial Sale license	U.S. ired ilitary ary or ce that
10. S	pecific Purpose of Impo	ortation,	Including Fi	nal Recipio	ent, If Known (	Use ad	dition	al sheets, if nee					
Co	e You Registered as an introl Act of 1976		Yes	] No								Date (A-xx-xxx-xxxx)	
	the penalties provided b t is true, correct, and c		declare that	I have exa	mined this app	lication	, inclu	iding the docur	ments submitted i	in support of i	t, and, to the	e best of my knowled	ge and
13. Na	me of Applicant (Print	ed)		14	. Signature of	Applica	ant		15.	Title		16. Date	
Sectio	n II - For ATF Use	Only (	Please mak	e no entri	ies in this sec	tion)						!	
App Parti	e application Has Been roved ally Approved for the 1 cated Here or on Attach	Reason	Dis He	sapproved f re or on At	of the Firearm for the Reason tached Letter y Applicant Wi	Indicate	ed	Return Inform	e Articles Descril ned Without Acti nation ermit Required		onal	Conditionally Appr	oved
18. Sig	gnature of the Director,	Bureau	of Alcohol, '	Fobacco, F	irearms and Ex	plosive	es or re	epresentative				19. Date	
												ATF E-Form 6 - Part Revised November 2	· · · · · ·

## Firearms (Continued)

Firearms (Continued)										
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a.	b.	c.	d.	e.	f.	g.	h.	i.	j.	k.

# **Defense Articles** (Continued)

Defense Articles (Continued)											
Name and Address of Manufacturer City and State or City and Country	Description	Caliber or Gauge or Size	Quantity (Each Type)	Unit Cost (U.S. Currency)	U.S. Munitions Import List Category						
City and Country											
a.	b.	c.	d.	e.	f.						

# Ammunition (Continued)

Ammunition (Continued)											
Name and Address of Manufacturer City and State or City and Country	Core Material (Lead, Steel etc.)	Description (Ball, Wadcutter, Shot, AP, Tracer (rounds)	Caliber or Gauge or Size	Quantity (Each Type)	Unit Cost (U.S. Currency)	U.S. Munitions Import List Category					
a.	b	с.	d.	e.	f.	g.					

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Co	e You Registered as an introl Act of 1976		Yes	] No								Date (A-xx-xxx-xxxx)	
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City and Country											
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Co	e You Registered as an introl Act of 1976		Yes	] No								Date (A-xx-xxx-xxxx)	
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a.	b	с.	d.	e.	f.	g.					

(Submit in triplicate) (Detach this instruction sheet before submitting your application)

### Paperwork Reduction Act Notice

This request is in accordance with the Paperwork Reduction Act of 1995. The purpose of this information collection is to allow ATF to determine if the article(s) described on the application qualifies for importation by the importer, and to serve as the authorization for the importer. This information is mandatory (18 U.S.C. 925(d), 26 U.S.C. 5844, 22 U.S.C. 2778).

The estimated average burden associated with this collection of information is 30 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to Reports Management Officer, Document Services, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Confidentiality is not assured.

### **General Information**

- An approved ATF Form 6 Part I (5330.3A) is required to import firearms, ammunition, and defense articles into the United States or any possession thereof, except for certain exempt importations prescribed in 27 CFR Parts 447,478 and 479. A military member of the U.S. Armed Forces who is on active duty outside the U.S., or who has been on active duty outside the U.S. during the 60-day period immediately preceding the intended importation, should complete ATF Form 6 - Part II (5330.3B) to import sporting type firearms or ammunition for his personal use.
- 2. Any person engaged in the business of importing firearms or ammunition for resale must be licensed as an importer under the Gun Control Act of 1968 and, if he is importing firearms, ammunition, firearms parts or defense articles (other than sporting shotguns, shotgun shells, or shotgun parts) he must also be registered as an importer under the Arms Export Control Act of 1976. No permit to import such articles for resale will be issued until the importer is properly licensed and registered.
- 3. A Federal firearms licensee, other than an importer, may make an occasional importation of sporting firearms or ammunition *(excluding surplus military)* for himself or an unlicensed person in the licensee's State, provided that the firearms and ammunition are intended for personal use of the person for whom imported and not for resale. ATF Form 6 Part I (5330.3A) is used to obtain approval for such importation.
- 4. A permit is not required for a firearm or ammunition brought into the United States or any possession thereof by any person who can establish to the satisfaction of U.S. Customs and Border Protection (CBP) that such firearm or ammunition was previously taken out of the United States or any possession thereof by such person.
- 5. A permit is not required for the return of a repaired firearm, or replacement firearm of the same kind and type, to the person in the United States who sent the defective firearm out of the United States for repair.
- 6. If you are a nonimmigrant alien, do not complete this form. A nonimmigrant alien entering the U.S. temporarily needs to submit an ATF Form 6NIA (5330.3D) (Application and Permit for Temporary Importation of Firearms and Ammunition by Nonimmigrant Aliens) to temporarily import his personally owned firearms.
- 7. An unlicensed person may obtain a permit to import sporting type ammunition (excluding tracer or incendiary) and firearm parts (other than frames, receivers, or actions) without engaging the services of a Federal firearms licensee, provided that the importation is for his personal use and not for resale.
- 8. A nonresident U.S. citizen returning to the United States or a nonresident alien immigrating to the United States, from a permanent residence outside of the United States, may complete and forward the enclosed ATF Form 6 Part I permit application without having to utilize the services of a federally licensed firearms dealer. The nonresident should include a statement, either on the application form or on an attached sheet, that the firearms are being imported for his personal use and not fore resale, the date of return and that he is a nonresident U.S. citizen returning to the United States, or is a nonresident alien

immigrating to the United States. The firearm must accompany the nonresident U.S. citizen or nonimmigrant alien immigrating to the United States on entry into the United States, since once he is in the United States, and has acquired residence in a State, he may not directly import a firearm. He must engage the services of a federally licensed firearms dealer in his State of residence to import the sporting firearms *(excluding NDA and surplus military)* for him.

- 9. Under Arms Export Control Act of 1976 regulations in 27 CFR 447.41(c), a permit is not required for the importation of:
  - a. U.S. Munitions Import List articles from Canada not subject to 27 CFR Part 478 and 479, except articles enumerated in Categories I, II, III, IV, VI(e), VIII(a), XVI, and XX; and nuclear weapons, strategic delivery systems, and all specifically designed components, parts, accessories, attachments, and associated equipment thereof.
  - b. Minor components and parts of Category I(a) firearms, except barrels, cylinders, receivers (frames) or complete breech mechanisms, when the total value does not exceed \$100 wholesale in any single transaction.

#### Preparation

- 10. The applicant shall prepare this form in triplicate. Required signatures must be in ink on all copies. Other entries must be in ink or be typewritten.
- 11. The application should be submitted approximately 60 days prior to the intended importation. All copies shall be submitted to:

Director Bureau of Alcohol, Tobacco, Firearms, and Explosives 244 Needy Road Martinsburg, WV 25405 Attention: Firearms and Explosives Imports Branch

- 12. Any questions concerning the application should be referred to the Imports Branch at the above address or telephone (304) 616-4550.
- 13. If a licensee is applying to import an article for subsequent transfer to a known final recipient *(e.g., an individual, commercial entity, or government agency)*, the licensee must complete items 1 through 16, and identify the final recipient by name and address in item 10.
- 14. Item 9, Certification of Origin: The purpose of this certification is to determine whether items sought for importation require retransfer authorization from the Department of State. Applicants should check block 9a if the articles sought for importation were produced for the civilian market and were not associated with the U.S. military. Applicants should check block 9b if the articles sought for importation contain parts or components produced by or for the U.S. military or manufactured with U.S. military technical data or assistance, and the articles were provided by the U.S. government to a foreign government through a grant or foreign military sales program. Applicants should check block 9c if the articles sought for importation contain parts or components produced by or for the U.S. military or manufactured with U.S. military technical data or assistance, and the articles were sold abroad pursuant to an export license issued by the Department of State authorizing a Direct Commercial Sale (DCS). NOTE: If block 9b is checked, a written retransfer authorization from the Department of State must be attached to the application or the applications will be denied. NOTE: If block 9c is checked, either a copy of the export license authorizing the DCS or a written retransfer authorization from the Department of State must be attached to the application or the application will be denied.

### Approval

- 15. The Director will approve the application or advise the applicant of the reason for the disapproval. In some cases it may be necessary to request additional information or to have the firearm or ammunition sent to ATF for examination to determine the import status.
- 16. The permit is valid for 24 months from the date of approval. The approved

(INSTRUCTIONS CONTINUED ON REVERSE) ATF E-Form 6 - Part 1 (5330.3A) Revised November 2016 application will serve as the permit to import the article(s) described on the form.

17. After approval, the Director will retain one copy and forward the original to the applicant or his designated agent, along with copies of ATF Form 6A, Release and Receipt of Imported Firearms, Ammunition and Implements of War.

#### **Release From Customs**

- 18. No amendments or alterations may be made to an approved permit, except by the Director.
- 19. An approved ATF Form 6 Part I (5330.3A) which is unused, expired, suspended or revoked shall be returned immediately to the Director, Bureau of ATF, 244 Needy Road, Martinsburg, WV 25405 Attention: Firearms and Explosives Imports Branch.
- 20. For non-commercial imports, the ATF Form 6A, in duplicate with Section I completed, the approved permit, and any other necessary documents, must be presented to CBP officials handling the importation to effect release of the articles.
- 21. The CBP officer, after determining that the importation is in order, will execute the certificate of release on ATF Form 6A.
- The CBP officer will forward the ATF Form 6A to the address shown on the form and return the permit and any additional copies of ATF Form 6A, to the applicant.

#### Prohibited Persons Under U.S. Law

23. The importer of a firearm should be familiar with the provisions of law governing who may lawfully possess a firearm in the United States. Generally, 18 U.S.C. 922 prohibits the shipment, transportation, receipt, or possession in or affecting interstate commerce of a firearm by one who has been convicted of a crime punishable by imprisonment for a term exceeding one year; by one who is a fugitive from justice; by one who is an unlawful user of, or addicted to, marijuana, or any depressant, stimulant, or narcotic drug, or any other controlled substance; by one who has been adjudicated mentally defective or has been committed to a mental institution; by one who has been discharged from the Armed Forces under dishonorable conditions; by one who has renounced his or her U.S. citizenship; by one who is an alien illegally in the United States or is a non-immigrant alien admitted to the United States under a visa; by one who is subject to certain restraining orders; or by one who has been convinced of a misdemeanor crime of domestic violence. Furthermore, section 922 prohibits the shipment, transportation, or receipt in or affecting interstate commerce of a firearm by one who is under indictment or information for a crime punishable by imprisonment for a term exceeding one year.

#### **Privacy Act Information**

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. § 552(a)(e)(3)).

- 1. Authority. Disclosure of the information requested on ATF Form 6 Part I (5330.3A) is mandatory pursuant to 18 U.S.C. 925 and Section 38 of the Arms Export Control Act of 1976 (22 U.S.C. 2778, 26 U.S.C. 5844) to obtain a permit to import firearms, ammunition, and implements of war.
- 2. **Purpose.** To determine if the article(s) qualifies for importation by the applicant.
- 3. Routine Uses. The information will be used by ATF to make determinations set forth in paragraph 2. In addition, information may be disclosed to other Federal, State, foreign and local law enforcement and regulatory agency personnel to verify information on the application and to aid in the performance of their duties with respect to the enforcement and regulation of firearms and/or ammunition where such disclosure is not prohibited by law. The information may further be disclosed to the Justice Department if it appears that the furnishing of false information may constitute a violation of Federal law. Finally, the information may be disclosed to members of the public in order to verify the information on the application when such disclosure is not prohibited by law.
- 4. Effects. Failure to supply complete information will delay processing and may cause denial of the application.

- 24. For commercial imports, the CBP copy of the form 6A will be transmitted to CBP electronically through the Automated Commercial Environment (ACE). For more information on how to use ACE, visit the Trade Resource Center at www.itds.gov or contact a CBP client representative at 1-571-468-5500.
- 25. Licensed importers and AECA registrants are still required to file their copy of the form 6A with ATF within 15 days of release from CBP custody. This copy will have Section I and III completed. Section II is not required.

#### Forms

26. Federal firearms licensees must retain this form as part of their ATF required records permanently as prescribed by 27 CFR 478.129(d). Importers registered under the Arms Export Control Act who do not also hold a Federal firearms license must retain this form as part of their ATF required records for at least the 6-year period prescribed by 27 CFR 447.34(b).

Additional Forms are available from:

ATF Distribution Center 13882 Redskin Drive Herndon, VA 20171

Or by accessing the ATF website at http://www.atf.gov